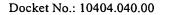
Date



TRANSMITTAL FORM  (to be used for ell correspondence after initial filing)  Total Number of Pages in This Submission  ENCLOSURES (Check all that apply)  ENCLOSURES (Check all that apply)  Fee Transmittal Form Fee Attached Licensing-related Papers After Final After Final After Final After Attorney Decket Number Aftidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts Information Mark R. Krestoff; Reg No. 42,766 MCKENNA LONG & ALDRIDGE LLP Signature  Mark R. Krestoff; Reg No. 42,766 MCKENNA LONG & ALDRIDGE LLP Signature  Mark R. Krestoff; Reg No. 42,766 MCKENNA LONG & ALDRIDGE LLP  Individual name  Information Disclosure Statement Mark R. Krestoff; Reg No. 42,766 MCKENNA LONG & ALDRIDGE LLP  Individual name  Information Disclosure Address Mark R. Krestoff; Reg No. 42,766 MCKENNA LONG & ALDRIDGE LLP  Individual name  Information Disclosure Address Mark R. Krestoff; Reg No. 42,766 MCKENNA LONG & ALDRIDGE LLP  Individual name  Information Disclosure Address Mark R. Krestoff; Reg No. 42,766 MCKENNA LONG & ALDRIDGE LLP  Individual name  Information Disclosure Address  Information Disclosure Statement  Information Disclosure Statemen	Industria Deserved Reduction Act of 4005, an acres		U.S. Patent and Tradema	PTO/SB/21 (08-03 red for use through 07/31/2006. OMB 0651-003 ark Office: U.S. DEPARTMENT OF COMMERCE
FORM (to be used for all correspondence after initial filing)  Total Number of Pages In This Submission  ENCLOSURES (Check all that apply)  ENCLOSURES (Check all that apply)  Fee Transmittal Form Fee Attached Licensing-related Papers Amendment/Reply After Final Petition After Final Petition to Convert to a Provisional Application Provisional Application Provisional Application Affidavits/declaration(s) Express Abandonment Request Fexpress Abandonment Request Information Disclosure Statement Con, Number of CD(s)  Response to Missing Parts/Incomplete Application Mark R. Kresloff; Reg No. 42,766 MCKENNA LONG & ALDRIDGE LLP Individual name  Signature	Under the Paperwork Reduction Act of 1995, no person	ns are required to res		
Total Number of Pages in This Submission    Attorney Docket Number   TBA	TRANSMITTAL		Filing Date	
Art Unit TBA  Examiner Name TBA  Attorney Docket Number 10404.040.00  ENCLOSURES (Check all that apply)  Fee Transmittal Form Drawing(s) After Allowance Communication to Group Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  After Final Petition to Convert to a Provisional Application Proprietary Information Disclosure Status Letter Charge of Correspondence Address Terminal Disclaimer Request Request Terminal Disclaimer Other Enclosure(s) (please identify below):  Express Abandonment Request Request For Refund Other Enclosure(s) (please identify below):  Ferminal Disclosure Statement CD, Number of CD(s)  Response to Missing Parts/Incomplete Application Remarks  Signature OF APPLICANT, ATTORNEY, OR AGENT  Mark R. Kresloff; Reg No. 42,766 MCKENNA LONG & ALDRIDGE LLP  Individual name  Signature			First Named Inventor	PERLY, Bruno
Total Number of Pages in This Submission  Total Number of Pages in This Submission  ENCLOSURES (Check all that apply)  ENCLOSURES (Check all that apply)  Fee Transmittal Form  Drawing(s)  Licensing-related Papers  Appeal Communication to Board of Appeals and interferences  Appeal Communication to Group  Appeal Communication to Group  Appeal Communication to Group  Appeal After Final  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Address  Terminal Disclaimer  Extension of Time Request  Request for Refund  Cot, Number of CD(s)  Terdified Copy of Priority  Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts  Under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Mark R. Kresloff; Reg No. 42,766  MCKENNA LONG & ALDRIDGE LLP  Individual name  Signature  Mark R. Kresloff; Reg No. 42,766  MCKENNA LONG & ALDRIDGE LLP		nitial filing)	Art Unit	TBA
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Fee Transmittal Form    Fee Attached	Total Number of Pages in This Submission	on	Attorney Docket Number	10404.040.00
Tee Halsmitter Office   Content of the Content of	ENC	CLOSURES	(Check all that app	ly)
Firm or Individual name  Signature  Mark R. Kresloff; Reg No. 42,766 MCKENNA LONG & ALDRIDGE LLP	Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts	Drawing(s)  Licensing-relation  Petition  Petition to Comprovisional A  Power of Attor Change of Communication  Terminal Discommunication  Request for  CD, Number	ated Papers  onvert to a application rney, Revocation rrespondence Address claimer  Refund	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  PTO/SB/08
	Firm or Individual name Mark R. Kresloff; Reg N MCKENNA LONG & A	o. 42,766	· · · · · · · · · · · · · · · · · · ·	AGENT





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: PERLY, Bruno GROUP ART UNIT: TBA

SERIAL NUMBER: 10/576,346 EXAMINER: TBA

FILED: April 18, 2006

FOR: AMPHIPHILIC CYCLODEXTRIN DERVATIVES, METHOD FOR PREPARING SAME AND USE

**THEREOF** 

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant(s) wish to disclose the following information.

#### REFERENCES

The Applicant(s) wish to make of record the references listed on the attached PTO/SB/08. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check is attached in the amount required under 37 CFR § 1.17(p).

### **RELATED CASES**

- Attached is a list of applicant's pending applications or issued patents which may be related to the present application. A copy of the patent(s) is attached along with PTO/ SB/08.
- A check is attached in the amount required under 37 CFR § 1.17(p).

## **CERTIFICATION**

The undersigned certifies that

- each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

### **PETITION**

Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR § 1.17(i)(1).

DC:50422608.1

 $\cap$ 

Docket No.: 10404.040.00

# **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account No. 50-0911. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Registration No. 42,766 VICKENNA LONG & ALDRIDGE LLP

1900 K Street, N.W.

Washington, D.C. 20006 Telephone No: (202) 496-7500

Date: July 17, 2006



PTO/SB/08a/b (05-03)

Approved for use through 05/31/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known			
				Application Number	10/576,346
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)			SCLOSURE	Filing Date	April 18, 2006
			APPLICANT	First Named Inventor	PERLY, Bruno
				Art Unit	TBA
			necessary)	Examiner Name	TBA
Sheet	1	of	1	Attorney Docket Number	10404.040

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,631,244	05/20/1997	GALONS, ET AL.	
	AB	6,858,723	02/22/2005	AUZELY-VELTY ET AL.	

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages			
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T⁵		
	BA	ES 2 053 399	07/16/1994	UNIVERSIDAD				
				COMPLUTENSE DE MADRID				
	ВВ	EP 0 751 150	01/02/1997	COMMISSARIAT A				
			,	L'ENERGIE ATOMIQUE				
	вс	EP 0 624 599	11/17/1994	L'OREAL		П		
	BD	WO 00/66635	11/09/2000	COMMISARIAT A				
			Į	L'ENERGIE ATOMIQUE				
	BE	FR 2 681 868	04/02/1993	SEDERMA				
	BF	FR 2 808 691	11/16/2001	COLETICA		П		
	BG	FR 2 792 942	11/03/2000	COMMISSARIAT A				
				L'ENERGIE ATOMIQUE				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. Mipo document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	CA	Auzély-Velty, et al. "Cholesteryl-cyclodextrins: Synthesis and Insertion Into Phospholipid Membranes" ;Elsevier Science Ltd. (1999) 82-90			
	СВ	Moutard,et al. "Novel Glycolipids Based on Cyclodextrins"; Journal of Inclusion Phenomena and Macrocyclic Chemistry (2002) 44: 317-322			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
	12
Signature	Considered

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.